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Application Serial No. 09/498,944

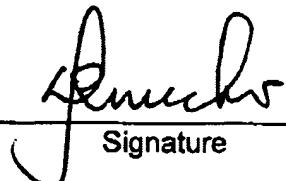
Attorney Docket No. EBRY0001

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1. Electronic Filing Cover-sheet (1 page);
2. Information Disclosure Statement (2 pages in duplicate);
3. SB/08A and SB/08B Forms (4 pages); and
4. Cited References

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Warnock et al

Docket No. EBRY0001

Serial No.: 09/498,944

Art Unit: 3621

Date Filed: 02/04/2000

Examiner: Le, Nancy L. T.

Title: METHOD AND APPARATUS FOR IMPROVED INFORMATION TRANSACTIONS

Date: 27 June 2006

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

( ) under 37 CFR 1.97(b),  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

( ) under 37 CFR 1.97(c) together with either a:  
( ) Certification under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p).  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

(X) under 37 CFR 1.97(d) together with a:  
( ) Certification under 37 CFR 1.97(e),  
(X) a \$180.00 fee under 37 CFR 1.17(d)(2)(ii), and  
(X) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. EBRY0001). A duplicate copy of this paper is attached for accounting purposes.

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A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

*Julia A. Thomas*

JULIA A. THOMAS  
Reg. No. 52,283

Customer No. 22862

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Warnock et al      Docket No. EBRY0001  
Serial No.: 09/498,944      Art Unit: 3621  
Date Filed: 02/04/2000      Examiner: Le, Nancy L. T.  
Title: METHOD AND APPARATUS FOR IMPROVED INFORMATION TRANSACTIONS

Date: 27 June 2006

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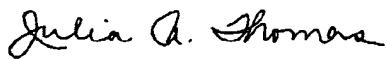
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Respectfully Submitted,



JULIA A. THOMAS  
Reg. No. 52,283

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STATEMENT BY APPLICANT

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Sheet 1

of 4

Complete If Known

Application Number	09/498,944
Filing Date	04 February 2000
First Named Inventor	Wamock et al
Art Unit	3621
Examiner Name	Le, Nancy L. T.
Attorney Docket Number	EBRY0001

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## U. S. PATENT DOCUMENTS

Examiner Initials*	Cts No.	Document Number Number/Kind Code* (Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
A	US-2005/0022114 A1	1/27/2005	Shanahan		
B	US-2004/0205448 A1	10/14/2004	Grefenstete		
C	US-6,732,090 B2	02/13/2003	Shanahan		
D	US-6,629,097 B1	09/30/2003	Keith		
E	US-6,358,938 B1	03/12/2002	Donoho		
F	US-6,616,337 B1	02/04/2003	Tripp		
G	US-2003/0033268 A1	02/13/2003	Shanahan		
H	US-2003/0037181 A1	02/20/2003	Freed		
I	US-2003/0061200 A1	03/27/2003	Hubert		
J	US-2004/0133544 A1	07/08/2004	Klessig		
K	US-2004/0133545 A1	07/08/2004	Klessig		
L	US-2004/0133588 A1	07/08/2004	Klessig		
M	US-2004/0133589 A1	07/08/2004	Klessig		
N	US-6,345,279	02/05/2002	Li		
O	US-				
P	US-				
Q	US-				
R	US-				
S	US-				

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cts No.	Foreign Patent Document: Country Code* Number *Kind Code* (Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
AA	WO 02/41170 A2	05/23/2002	Interlegis, Inc.			
BB	WO 01/57711 A1	09/08/2001	Searchlogic.com Corp			
CC	WO 01/20598 A1	03/22/2001	Enounce, Inc.			
DD	WO 99/39288	05/08/1999	Asmeid Corporation			
EE	WO 99/05618	04/02/1999	Microsoft Corporation			
FF	EP 1 284 461 A1	02/19/2003	Xerox Corporation			

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Sheet 2 of 4

## Complete If Known

Application Number	09/498,944
Filing Date	04 February 2000
First Named Inventor	Warnock et al
Art Unit	3621
Examiner Name	Le, Nancy L. T.
Attorney Docket Number	FBRY0001

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**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
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		Country Code* Number* Kind Code* (If known)	MM-DD-YYYY		
GG	WO 96/27155		09/06/1996	Electronic Publishing	
HH	WO 2005/062192 A1		07/07/2005	Google Inc.	
II	WO 98/42098				

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STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 of 4 Attorney Docket Number EBRY0001

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## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AAA	FIQA, E. et al.; Lexical Inference Mechanisms for Text Understanding and Classification; Proceedings of the 68th ASIST Annual Meeting, Humanizing Information Technology: From Ideas to Bits and Back. ASIST 2003 p. 185-73; Information Today, INC., Medford, NJ, USA; 2003;	
	BBB	PARODI, P. et al.; Efficient and Flexible Text Extraction from Document Pages; International Journal on Document Analysis and Recognition vol.2, no.2-3 p. 67-79; Springer-Verlag; Dec. 1999; Germany;	
	CCC	YAN-MIN CHEN et al.; Multi-Document Summarization Based on Lexical Chains; Proceedings of 2005 International Conference on Machine Learning and Cybernetics (IEEE, Piscataway, NJ, USA; 2005;	
	DDD	GUOREN WANG et al.; Design and Implementation of a Semantic Document Management System; Information Technology Journal vol.4, no.1 p. 21-31; Jan. 2005; Pakistan;	
	EEE	MOURI, T. et al.; Extracting New Topic Contents from Hidden Web Sites; Proceedings. ITCC 2004. International Conference on Information Technology: Coding and Computing vol.1 p. 314-19; IEEE Comput. Soc, Los Alamitos, CA, USA; 2004;	
	FFF	SRIHARI, S.N. et al.; Forensic Handwritten Document Retrieval System; Proceedings. First Workshop on Document /image Analysis for Libraries p. 188-94; IEEE Comput. Soc, Los Alamitos, CA, USA; 2004;	
	GGG	ESTIEVENART, F. et al.; A Tool-Supported Method to Extract Data and Schema from Web Sites; Proceedings Fifth IEEE International Workshop on Web Site Evolution Theme: Architecture p. 3-11; IEEE Comput. Soc, Los Alamitos, CA, USA; 2003;	
	HHH	CHUAN-JIE LIN et al.; Description of Preliminary Results to TREC-8 QA Task; Information Technology: Eighth Text Retrieval Conference (TREC-8) (NIST SP 500-246) p. 507-12; NIST, Gaithersburg, MD, USA; 2000;	
	III	EMBLEY, D.W. et al.; Conceptual-Model-Based Data Extraction from Multiple-Record Web Pages; Data & Knowledge Engineering vol.31, no.3 p. 227-51; Elsevier; Nov. 1999; Netherlands;	
	JJJ	EMBLEY, D.W. et al.; A Conceptual-Modeling Approach to Extracting Data from the Web; Conceptual Modeling - ER'98, 17th International Conference on Conceptual Modeling. Proceedings p. 78-91; Springer-Verlag, Berlin, Germany; 1998;	

Examiner Signature	Date Considered

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Sheet	4	of	4
Attorney Docket Number EBRY0001			

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## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
KOK		KNOLL, A. et al.; An Integrated Approach to Semantic Evaluation and Content-Based Retrieval of Multimedia Documents; Research and Advanced Technology for Digital Libraries. Second European Conference, ECDL'98. Proceedings p. 409-28; Springer-Verlag, Berlin, Germany; 1998;	
LLL		FUJISAWA, H. et al.; Automatically Organizing Document Bases Using Document Understanding Techniques; Future Databases '92. Proceedings of the Second Far-East Workshop on Future Database Systems p. 244-53; World Scientific, Singapore; 1992.	
MMI		KESHI, I. et al.; A Knowledge-Based Framework in an Intelligent Assistant System for Making Documents; Future Generation Computer Systems vol.5, no.1 p. 51-8; Aug. 1989; Netherlands.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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